Legal Department, 20BN P.O. Box 10301 Palo Alto, California 94303-0890

## IN THE U.S. PATENT AND TRADEMARK OFFICE Patent Application Transmittal Letter

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

INVENTOR(S): Martin F. Arlitt, et al.

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): (X) Utility ( ) Design

08/04/99

(X) original patent application,

( ) continuation-in-part application



. Enclosed are:

TITLE:

The time that make the time that the

(X)	The Declaration and Power of Attorney.	( ) signed (X ) unsigned or partially signed
( <b>X</b> )	sheets of drawings (one set)	( ) Associate Power of Attorney
( )	Form PTO-1449 ( ) In:	formation Disclosure Statement and Form PTO-1449
( )	Priority document(s) ( )(Other)	/fee \$

Improving Content Consistency In A Data Access Network System

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY					
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(	5) TALS
TOTAL CLAIMS	15 — 20	0	X \$18	\$	0
INDEPENDENT CLAIMS	2 — 3	0	X \$78	\$	0
ANY MULTIPLE DEPENDENT CLAIMS	0		\$260	\$	0
BASIC FEE: Design \$310.00 ); Utility\$(760.00 )					760
TOTAL FILING FEE					760
OTHER FEES					
TOTAL CHARGES TO DEPOSIT ACCOUNT					760

Charge \$\frac{760}{2}\$ to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16, 1.17,1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. EL 187 268 855 US

Date of Deposit Aug. 4, 1999

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-2

By Patricia Flores

Typed Name: Patricia Flores

Respectfully submitted.

Martin F. Arlitt, et al.

By Momas V. B.

Thomas X. Li

Attorney/Agent for Applicant(s)

Reg. No. 37,079

Date: Aug. 4, 1999

Telephone No.: (650) 857-5972

#### UNITED STATES PATENT APPLICATION

#### FOR

# IMPROVING CONTENT CONSISTENCY IN A DATA ACCESS NETWORK SYSTEM

#### INVENTORS:

Martin F. Arlitt
John A. Dilley
Richard J. Friedrich
Tai Y. Jin
Stéphane J.Perret

#### Prepared by:

Thomas X. Li (Reg. No. 37,079)
Hewlett-Packard Company
Corporate Legal Department, 20BN
3000 Hanover Street
Palo Alto, California 94304
(650) 857-5972
thomas\_li@hp.com

Attorney's Docket No. 10981718

"Express Mail" mailing label number: EL 187 268 855 US Date of deposit: August 4, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

Patricia Flores

(Typed or Printed name of person mailing paper or fee)

10

20

25

# IMPROVING CONTENT CONSISTENCY IN A DATA ACCESS NETWORK SYSTEM

#### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention pertains to data access network systems (e.g., Internet or intranet systems). More particularly, this invention relates to improving content consistency between a proxy server and a content server in a data access network system in a cost effective manner and with minimal network data traffic.

### 2. Description of the Related Art

An example of a data access network system is the Internet or an intranet network system. An Internet/intranet network system typically includes a number of data service systems and Internet Service Provider (ISP) systems connected together via interconnect networks. The data service systems typically include web content servers that host content for various customers or applications. The customers are the owners of the content hosted in the data service systems such that subscribers or users can access the content via their computer terminals via the ISP systems. The content owners are typically referred to as Content Providers. The data service systems may also be referred to as content servers. The content servers typically utilize Internet applications, such as electronic mail, bulletin boards, news groups, and World Wide Web access. The hosted content is arranged in the form of content sites within the content servers. Each site may include a number of pages (e.g., World Wide

20

25

Access to the web pages by the users via their terminals is typically accomplished using the HTTP (Hyper Text Transfer Protocol) protocol. The HTTP protocol is a request-and-response protocol. When a user at a terminal (e.g., a personal computer) designates a particular web page, at least one request is generated. The actual number of requests is dependent upon characteristics of the designated web page. A web page may include one or more "objects" or files. A multi-object page can be more aesthetically pleasing than a plain page, but each object requires a separate request by the browser and a separate response by a server.

The total time to download a Web page or other Internet document (e.g., an FTP file) depends on a number of factors, including the transmission speeds of communication links between a user terminal and a server on which the requested file is stored (i.e., content server), delays that are incurred at the server in accessing the document, and delays incurred at any intermediate device located between the user terminal and the content server, including the data access network. In addition, whenever a Web page or file is again requested by the same user terminal at a later time, the same download process may be repeated, which creates unnecessary and redundant network traffic in the data access network system.

To reduce delay and network traffic, proxy servers are provided in the intermediate devices between the user terminals and the content servers to temporarily cache Web page files. This prior art arrangement is shown in Figure 1. An important benefit of employing the proxy server is the ability to cache objects received from the remote content servers. This allows the cached

25

5

objects to be quickly retrieved and sent to the client device if objects are again requested. Some of the cached objects may be requested by the same or different client device at later times.

As can be seen from Figure 1, when a user terminal 12 generates a request for a particular object (e.g., the object 10 stored in the remote server 18), the cache of the proxy server 16 in the local server 14 is searched to determine whether the object 10 is stored at the proxy server 16. If the object is not found in the cache of the proxy server 16, a "cache miss" results and the local server 14 directs the request to the remote server 18 via the Internet 20. As can be seen from Figure 1, the remote server 18 stores the requested object 10. Once the remote server 18 receives the request, it directs a response with the requested object 10 to the client device 12 via the local server 14. During this process, the requested object 10 is also cached in the proxy server 16 of the local server 14. This eliminates the need for the local server 14 to send another request to the remote server 18 for the same object 10 at a later time when either the same client device 12 or a different client device (not shown) requests the same object 10. When the object 10 is again requested, the proxy server 16 is accessed and a "cache hit" results. In this case, the cached object 10 is quickly forwarded to the client device directly from the proxy server 16. This eliminates delays encountered in communicating between the proxy server 16 and the remote server 18. By storing copies of objects received from remote sites, the proxy server 16 reduces the number of requests that are directed to the remote server 18, as well as the traffic on the Internet 20 as a result of transmitting the responses in the form of a number of packets that must be reassembled at the client device 12. Caching can delay the need to provide additional network

25

resources, reduce peak demand on the network link from an ISP to the external Internet, and improve client response time. These factors lead to lower ongoing operating costs and increased user satisfaction.

However, disadvantages are associated with this prior art caching arrangement. One disadvantage is that the prior art caching arrangement lacks content consistency between the contents stored in the proxy server and that stored in the content server. This means that if the content of an object or file stored in the content server is updated or otherwise changed, that change is not propagated to the proxy server that caches the same object. The proxy server has no way of knowing whether the content stored in the proxy server is consistent without querying the original content server. In this case, the cached and un-updated object from the proxy server, not the updated object from the remote content server, is retrieved by the user from the proxy cache when the object is requested.

One prior art solution to this problem is to have the proxy server check the remote content server every time the proxy server is accessed. By doing so, the proxy server can assure that it serves consistent data to the users. This, however, comes at the cost of additional round trip connections to the origin content servers, which adds considerable delay to the servicing of the user requests. It also increases network traffic and the workload of the original content servers. This solution basically defeats many of the benefits of providing the proxy servers.

Another prior art solution to this problem is to only cache an object in the proxy server for a predetermined time period. Within that time period, the proxy server serves every request for that object locally from its cache without

contacting the remote content server. After the time period has lapsed, the proxy server evicts the object from its cache. One disadvantage of this approach is that there is no content consistency assurance during the time period the object is cached in the proxy server because the object may be updated or changed during that time period. Another disadvantage is that after the time period, the object may still be the same even if it is evicted from the proxy server. This clearly will increase the network traffic when the same object is again requested.

25

5

#### SUMMARY OF THE INVENTION

One feature of the present invention is to improve performance of a data access network system. Another feature is to improve performance of a web origin server. Another is to reduce user response time.

Another feature of the present invention is to improve performance of a data access network system by maintaining content consistency between proxy server and content server

A further feature of the present invention is to improve performance of a data access network system by maintaining content consistency between proxy server and content server with minimized network traffic.

A still further feature of the present invention is to reduce number of network connections to an origin by using a server-based content invalidation protocol.

A data access network system is described that includes a content server coupled to a plurality of proxy servers via an interconnect network. The content server stores a set of content files. The data access network system also includes a system of maintaining content consistency between the content server and the proxy servers. The system includes a subscription manager in the content server that specifies all of the proxy servers that are subscribed to one of the content files. The system also includes a consistency manager that notifies all of the proxy servers that are subscribed to the content file to discard the cached content file from those proxy servers when the content file is updated in the content server.

In addition, a method of maintaining content consistency between the

content server and the proxy servers is also described. The method includes the step of maintaining a subscription list for a content file in the content server that specifies all of the proxy servers that are subscribed to the content file. The method also includes the step of notifying, based on the subscription list, all of the proxy servers that are subscribed to the content file to discard the cached content file from those proxy servers when the content file is updated in the content server.

Other features and advantages of the present invention will become apparent from the following detailed description, taken in conjunction with the accompanying drawings, illustrating by way of example the principles of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a prior art data access network system without content consistency mechanism.

Figure 2 schematically illustrates a data access network system having a content consistency mechanism in accordance with one embodiment of the present invention.

Figure 3 shows various protocol request headers of the web cache consistency protocol used in the data access network system of Figure 2 in accordance with one embodiment of the present invention.

Figure 4 shows the operation of the web cache consistency protocol of Figure 3.

system 100 that implements a content consistency scheme in accordance with

one embodiment of the present invention. As will be described in more detail below, the content consistency scheme in accordance with one embodiment of the present invention employs a subscription manager (i.e., the subscription manager 40) in a data service system that contains content servers (i.e., the master data service system 30). The content servers in the master data service

system 40 stores at least one content file, which can be accessed by remote

proxy data service systems such as the proxy data service system 32. The

content file and are subscribed to the cached content file. In addition, the content consistency scheme also employs a consistency manager (i.e., the

subscription manager 40 in the master data service system 30 specifies all of the proxy servers (e.g., the proxy data service system 32) that consistently cache the

consistency manager 41) to enforce the content consistency scheme. When the

Figure 2 shows the structure or configuration of a data access network

5

20

25

content of the content file is updated, deleted, or otherwise changed in the content servers of the master data service system 30, the consistency manager 41 notifies all of the proxy data service systems that cache and are subscribed to the content file to discard the cached content file.

In addition, each of the proxy data service systems also includes a subscription manager (e.g., the subscription manager 51). This subscription manager determines if content consistency is needed for the cached content file in the proxy data service system, and notifies the subscription manager 40 of the master data service system 30 if the content consistency (i.e., a subscription) is

25

5

determined to be needed for the cached content file. Moreover, each of the proxy data service systems also includes a consistency manager (e.g., the consistency manager 53) that discards or replaces the cached content file upon receiving the notification from the consistency manager 41 of the master data service system 30. The content consistency scheme and the data access network system 100 will be described in more detail below, also in conjunction with Figures 2-4.

As can be seen from Figure 2, the data access network system 100 is an open-ended distributed or federated network system. The structure of the data access network system 100 is described below in order to provide a foundation upon which the present invention can be described in more detail.

In one embodiment, the data access network system 100 is an Internet network system. In another embodiment, the data access network system 100 is an Intranet network system. Alternatively, the data access network system 100 may be any other known network system that employs a known communication protocol.

As can be seen from Figure 2, the data access network system 100 includes the proxy data service system 32 and the master data service system 30 connected to the proxy data service system 32 via Internet (or Intranet) 31. As is known, the Internet 31 is a network system having a number of data service systems (similar to the data service systems 30 and 32) connected together via communication networks (not shown). Data communications among all data service systems are conducted using a predetermined communication protocol for Internet/Intranet communications. In one embodiment, the communication protocol is the Hyper Text Transport Protocol (HTTP). Alternatively, other

25

5

known communication protocols for Internet/Intranet communications can also be used.

Figure 2 only shows one proxy data service system 32 and one master data service system 30 for the data access network system 100. This is for illustration purposes only. In practice, the data access network system 100 includes a number of master and proxy data service systems. In addition, the master data service system 30 can also be connected to a number of proxy data service systems and the proxy data service system 32 can also be connected to a number of master data service systems. Moreover, the proxy data service system 32 can be both a proxy system and a master system in the data access network system 100. Likewise, the master data service system 30 can be both a master system and a proxy system in the data access network system 100. In this case, the master data service system 30 includes both the components 40-44 and the components 50-54 of the proxy data service system 32. The proxy data service system 32 may also include both the components 50-54 and the components 40-44 of the master data service system 30.

In Figure 2, the proxy data service system 32 is connected to a user terminal 33 via an interconnect network 34. This means that the proxy data service system 32 serves as the gateway to the Internet 31 or the master data service system 30 for the user terminal 33. Again, Figure 2 only shows one user terminal 33 for illustration purposes only. In practice, many more user terminals like the user terminal 33 can be connected to the proxy data service system 32.

The user at the user terminal 33 can access the proxy data service system 32 for the services provided by the data service system 32. The user at the user terminal 33 can also access the master data service system 30 for the services

25

5

provided by the data service system 30 via the proxy data service system 32 and the Internet 31. In this case, the data service system 30 is the master system of the user terminal 33 and the data service system 32 is the proxy system of the user terminal 33. If the data service system 30 is also connected with a user terminal (not shown) and the user at that user terminal wants to access the proxy data service system 32 for the services provided by the data service system 32 via the master data service system 30 and the Internet 31, the data service system 30 becomes the proxy system for that user terminal and the data service system 32 becomes the master system for that user terminal. Thus, the terms "proxy" and "master" are relative terms, depending on the terminal referred to. The data service system 32 will be referred to as the proxy data service system and the data service system 30 will be referred to as the master data service system below, with respect to the user terminal 33. In addition, the master data service system 30 can also be referred to as the content server system (or content server) and the proxy data service system 32 can also be referred to as the proxy server system (or proxy server).

The user terminal 33 may be located at a residence, a school, or an office of the user. The user terminal 33 includes a network access application program (e.g., a web browser application program such as Netscape's Navigator or Communicator) that allows the user to access the data services offered by the data service systems 30 and 32. The user terminal 33 can be a computer system or other electronic device with data processing capabilities (e.g., a web TV). The interconnect network 34 can be any known network, such as Ethernet, ISDN (Integrated Services Digital Network), T-1 or T-3 link, FDDI (Fiber Distributed Data Network), cable or wireless network or telephone line network.

25

5

Each of the data service systems 30 and 32 can be implemented in a computer system or other data processing system. The computer system that implements each of the data service systems 30 and 32 can be a server computer system, a workstation computer system, a personal computer system, or a mainframe computer system, a notebook computer system, or any other type of computer system.

As a master data service system, the data service system 30 includes a content storage 43 that serves to store content files of the data service system 30. In addition, the master data service system 30 includes a subscription manager 40, a consistency manager 41, a core engine 42, and an object manager 44. The components 40-44 are connected together. The components 42-44 implement servers that offer data services (e.g., web, news, advertisement, ecommerce, or e-mail) of the data service system 30. The servers include web servers, e-mail servers, news servers, e-commerce servers, domain name servers, address assignment servers, and advertisement servers. The web servers, e-mail servers, news servers, e-commerce servers, and advertisement servers can be collectively referred to as local service servers or content servers. A content server typically stores a number of content files that include Hyper-Text Markup Language (HTML) web pages, GIF and/or JPEG images, video clips, etc. The content servers support a variety of Internet applications to provide services such as access to the World Wide Web, electronic mail, bulletin boards, chat rooms, news groups, and e-commerce.

The content files are stored in the content storage 43 and are managed by the object manager 44. Data transfers to and from the content servers are enabled by transport protocols such as Transport Control Protocol (TCP) and the User Datagram Protocol (UDP). The core engine 42 performs all the data processing and transfer function of the data service system 30. The components 42-44 can be implemented using known technology.

5

The subscription manager 40 and the consistency manager 41 of the master data service system 30 are employed for maintaining the content consistency between the content files stored in the content storage 43 of the master data service system 30 and the same content files cached in the caches (e.g., the cache 50) of all the proxy data service systems (e.g., the proxy data service system 32) in accordance with one embodiment of the present invention. This will be described in more detail below. The function and structure of the subscription manager 40 and the consistency manager 41 will also be described in more detail below.

As a proxy system, the data service system 32 includes the cache 50 that serves to cache content files received in the proxy data service system 32. The content files cached in the cache 50 are received from, for example, the master data service system 30. In addition, the proxy data service system 32 includes the subscription manager 51, the consistency manager 53, a core engine 54, and an object manager 52. The components 50-54 are all connected together.

20

The components 50, 52, and 54 implement a number of functional servers that perform the data service functions of the proxy data service system 32. The servers include web servers, e-mail servers, news servers, e-commerce servers, domain name servers, address assignment servers, advertisement servers, and proxy servers. The servers support a variety of Internet applications. Using a currently commercially available web browser and other client applications, the

25

25

5

users at their respective user terminals (e.g., the user terminal 33) can access the content files stored in the remote content servers (e.g., the content servers of the master data service system 30) via the proxy data service system 32. Data transfers to and from the servers in the data service system 32 are enabled by transport protocols such as Transport Control Protocol (TCP) and the User Datagram Protocol (UDP). The core engine 54 performs all the data processing and transfer function of the data service system 32. The components 50, 52, and 54 can be implemented by known technology.

The data service functions provided by the components 50, 52, and 54 include the function of passing the access requests to the master data service system 30 (or to other data service systems), and the function of passing the requested content file from the master data service system 32 to the user terminal 33. In addition, the requested content file is also cached in the proxy servers of the proxy data service system 32 for future access. This eliminates the need for the core engine 54 in the proxy data service system 32 to send another request to the master data service system 30 for the same content file at a later time when a user terminal connected to the proxy data service system 32 requests for the same content file. Instead, the core engine 54 in the proxy data service system 32 can access the cache 50 and a "cache hit" results. In this case, the content file is quickly forwarded to the user terminal that requests the content file.

The subscription manager 51 and the consistency manager 53 of the proxy data service system 32 are employed for maintaining the content consistency between the content files stored in the master data service system 30 and the same content files cached in the caches (e.g., the cache 50) of all the

25

5

proxy data service systems (e.g., the proxy data service system 32) in accordance with one embodiment of the present invention. This will be described in more detail below. The function and structure of the subscription manager 51 and the consistency manager 53 will also be described in more detail below.

As described above, the data access system 100 of Figure 2 implements a content consistency scheme that maintains content consistency between the cached content file in the proxy data service system 32 and that stored in the content server of the master data service system 30. This content consistency scheme in accordance with one embodiment of the present invention is implemented through a publish/subscription mechanism which employs the subscription manager 40 and the consistency manager 41 in the master data service system 30 and the subscription manager 51 and the consistency manager 53 of the proxy data service system 32. In addition, a new communication protocol is employed, which will be described in more detail below, also in conjunction with Figure 3.

Applying the content consistency scheme of the present invention, the content files cached in the proxy data service system 32 are guaranteed to be consistent with their counterparts stored in the remote master data service system 30 within a predetermined time interval. Assured consistency enables the proxy data service system 32 to serve the cached content files authoritatively, and reduces the need for consistency checking back to the origin content servers. This reduces the end user access latency and reduces load on the origin content servers because they do not have to serve consistency check requests. This also contributes to the improvement of the network bandwidth

5

20

25

The new protocol for the content consistency scheme is built on the known HTTP protocol. As is known and as can be seen from Figure 3, the HTTP protocol includes a set of requests. They are HTTP GET, HTTP PUT, and HTTP GET IMS (If-Modified-Since). The new content consistency protocol includes a set of header extensions to the HTTP protocol, in one embodiment. These extensions are (1) the SUB header extension to the HTTP GET request, (2) a DWS INV message, (3) a DWS SUB header extension to the HTTP PUT publish method (see Figure 3), and (4) a DWS lease header extension to the GET response.

The HTTP GET SUB request is used by the subscription manager 51 of the proxy data service system 32 to get a subscription in the master data service system 30 for the cached content file. The DWS INV message is sent by the consistency manager 41 of the master data service system 30 to all the proxy data service systems on the subscription list maintained by the subscription manager 40 of the master data service system 30 to discard the cached content file in the proxy data service systems. The consistency manager 41 sends the DWS INV message to all of the proxy data service systems specified in the subscription list maintained by the subscription manager 40 when the content file specified by the subscription list is updated or deleted by its content provider. The HTTP PUT DWS SUB method not only notifies all of the proxy data service systems on the subscription list to discard the cached content file, but also sends the updated content file to those proxy data service systems.

During operation, when the content file is retrieved from the master data service system 30 and cached in the proxy data service system 32, the

25

5

subscription manager 51 first determines if the content consistency scheme need to be applied to the cached content file. This can be done by determining, for example, if the cached content file is a popular content file or not. If the content file is determined to be a popular one, the subscription manager 51 then sends a subscription request to the subscription manager 40 of the master data service system 30 using the HTTP GET SUB request if it is determined that the content consistency is required for the cached content file in the proxy data service system 32. As described above, content consistency means that if a content file stored in the content server of the master data service system 30 changes or is deleted, the proxy data service system 32 that caches the same content file should be notified of the change such that the proxy data service system 32 can either discard the cached content file or get the updated version of the cached content file.

When the subscription manager 40 of the data service system 30 receives the subscription request from the proxy data service system 32, the request is acknowledged and then may be added to a subscription list maintained by the subscription manager 40 in the master data service system 30 for the cached content file. The subscription list contains the return (notification) address of all the proxy data service systems that cache the content file.

Each subscription request must be acknowledged by the master data service system 30 in its HTTP reply. The master data service system 30 first makes its decision on whether to allow or grant a subscription for the subscription request based on local policy (which can include the object's global popularity estimate, its size, modification history, and number of existing subscriptions to that content file). The master data service system 30 returns an

25

5

acknowledgment with the HTTP reply indicating if the subscription request is allowed, and if so, for how long.

The acknowledgment is in the form of a DWS-Lease response header field. Upon granting the subscription request, the subscription manager 40 of the master data service system 30 records the return (notification) address of the subscribing proxy data service system 32 within the meta-data of the cached content file in case it changes.

Each subscription granted by the subscription manager 40 of the master data service system 30 is bounded by a predetermined monitoring time interval. This means that the content consistency scheme only guarantee content consistency between the data service systems 30 and 32 within a prescribed time interval. The consistency manager 41 of the master data service system 30 will not generate an invalidation message upon modification or change to the cached content file after that predetermined monitoring time interval has elapsed.

The predetermined time interval can be set either statically or based on an estimate of the time of the next modification (i.e., modification history of the cached content file). Each cached content file may have a time interval associated with it. All subscribing proxy data service systems will share the same monitoring time interval. After the time interval has expired (assuming no modification has taken place), the subscription manager 40 clears the subscription list, with no communication required between the master and proxy data service systems 30 and 32. The time interval provides a simple and robust method for limiting the amount of state that must be kept by the master data service system 30. It also provides a network-efficient mechanism for the clean-

25

5

up of the subscription list.

If the cached content file is modified or updated during the monitoring time interval, the subscription manager 40 transfers the subscription list to the consistency manager 41. The consistency manager 41 of the master data service system 30 then informs all the proxy data service systems currently listed on the subscription list to discard the cached content file. In this case, the consistency manager 41 sends a DWS INV message to each of the subscribing proxy data service systems contained in the subscription list. In addition, the consistency manager 41 can send the modified or updated content file to each of the subscribing proxy data service systems using the HTTP PUT DWS SUB publishing method. The consistency manager in each of the subscribing proxy data service systems (e.g., the consistency manager 53 of the proxy system 32) then either discards the cached content file or replaces it with the updated one just received from the master system 30.

When the consistency manager 41 sends a notification (with or without a modified content file) to the proxy systems, each delivery from the consistency manager 41 of the master data service system 30 needs to be acknowledged by the consistency manager of each proxy system (e.g., the consistency manager 53). If delivery fails, the consistency manager 41 will retry after a timeout, and repeat the retry periodically until successful or until the lease period expires, whichever is first. At that time, the consistency manager 41 will cease attempting to deliver the notification.

Delivery of the notification to the subscribing proxy systems is accomplished using one of two protocols, under the control of the consistency manager 41. The first protocol is the UDP protocol in which a notification

25

5

message packet is sent to a notification port of the proxy system (e.g., the proxy system 32). This port is communicated to the master system 30 during proxy-to-master authentication, which must precede any subscription. The second protocol is the HTTP protocol. Using this protocol, an HTTP POST request is made to the HTTP notification port of the proxy system. The message body carries the change request. The change request may include change notification messages for one or more content files that have changed or been deleted. Sending many change notifications in one request reduces overall network utilization and delay.

Figure 4 depicts the interactions of the content consistency scheme in according to one embodiment of the present invention. The access request is from the user terminal 33 and is to be served by the proxy data service system 32. The proxy system 32 makes a HTTP GET request (e.g., the request 60 in Figure 4) to get the first copy of the content file. On the next request, the proxy data service system 32 makes a HTTP GET IMS request (e.g., the request 61) to determine if the object has been modified (see Figure 4). This is required because the proxy system 32 assures the user at the user terminal 33 and the original content servers at the master data service system 30 that the content files it serves are consistent with what the content provider has published. On that or a subsequent HTTP GET IMS request (e.g., the SUB request 62), the proxy data service system 32 may request a subscription to the cached content file. As a result and if the master system 30 approves the request, the proxy system 32 is given/receives a time interval indicating the lease period for that content file. The proxy system 32 then serves all user requests during the time interval directly from the cache 50 without external communication to the master

25

data service system 30, as can be seen from Figure 4.

After the lease interval elapses, the next user request for that cached content file causes the subscription manager 51 of the proxy system 30 to make another HTTP GET IMS request (e.g., the request 63) to the master system 30 for that content file. The request causes the proxy system 32 either to get an updated copy of the content file (if it has been modified after the lease period has expired), or to identify that it has not been updated or modified since the last retrieval. During this GET IMS request, the proxy system 32 may re-request a subscription to the content file, as shown in Figure 4.

If the content file is deemed to be extremely popular, the proxy system 32 may request a subscription to the content file prior to the next user request (for example as soon as the prior lease interval expires). This is referred to as the active model. Using the passive model, the proxy system 32 only sends the subscription request when it receives the next user request for the cached content file.

If the content file is updated by the content provider in the master data service system 30 during the lease period, the consistency manager 41 of the master system 30 will detect the modification and will send a DWS INV message (e.g., the message 64) to all subscribing proxy systems, including the proxy system 32 (see Figure 4). At that time, the subscription is cleared and no further invalidation message will be sent to the subscribing proxy systems for that content file unless a new subscription starts.

When the proxy system 32 receives a DWS INV message, the consistency manager 53 must annotate the meta-data of the content file such that it will not serve the cached content file again from the cache 50 when the

content file is requested. This can include removing the content file from disk although this removal does not have to be synchronous with the request (it can be done at a quieter period or when disk space is next needed). Alternatively, the content file data can be maintained on disk and a delta encoding used to update the data when it is next requested. After an invalidation, the content file must not be served to the users because the content file may have been removed by its provider.

In the foregoing specification, the invention has been described with reference to specific embodiments thereof. It will, however, be evident to those skilled in the art that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.

#### **CLAIMS**

What is claimed is:

1. In a data access network system that includes a content server coupled to a plurality of proxy servers via an interconnect network, a system of maintaining content consistency between the content and proxy servers, comprising:

a subscription manager in the content server that specifies all of the proxy servers that are subscribed to a content file stored in the content server;

a consistency manager that notifies all of the subscribed proxy servers that cache the content file to discard the cached content file from those proxy servers when the content file is updated in the content server.

- 2. The system of claim 1, wherein the subscription manager generates a subscription list that specifies all of the subscribed proxy servers that cache the content file when the subscription manager is notified by each of the proxy servers that it has cached the content file.
- 3. The system of claim 2, wherein a proxy server notifies the subscription manager that it has cached the content file via an HTTP GET request with a SUB (Subscription) header when the proxy server decides that the content file should be subscribed.
- 4. The system of claim 3, wherein if the proxy server decides that the content file is not a popular file, then that proxy server does not notify the

subscription manager that it has cached the content file.

- 5. The system of claim 1, wherein the consistency manager notifies each of the subscribed proxy servers via a DWS INV message when a content file has changed.
- 6. The system of claim 1, wherein the consistency manager also sends the updated content file to each of the proxy servers via an HTTP PUT request with a DWS SUB header.
- 7. The system of claim 1, wherein the consistency manager notifies all of the proxy servers specified by the subscription manager to discard the cached content file from the proxy servers when the content file is updated or deleted in the content server within a predetermined time interval.
- 8. In a data access network system that includes a content server coupled to a plurality of proxy servers via an interconnect network, a method of maintaining content consistency between the content server and the proxy servers, comprising the steps of:

maintaining a subscription list for a content file in the content server that specifies all of the proxy servers that are subscribed to the content file;

notifying, based on the subscription list, all of the subscribed proxy servers that cache the content file to discard the cached content file from those proxy servers when the content file is updated in the content server.

- 9. The method of claim 8, further comprising the step of receiving, in the content server, a notification from each of the proxy server that it has cached the content file in order to maintain the subscription list.
- 10. The method of claim 9, wherein each of the proxy servers sends the notification to the content server using an HTTP GET request with a SUB header.
- 11. The method of claim 10, wherein each of the proxy servers only sends the notification to the content server when it determines that the content filed cached is a popular file that has been accessed frequently from the corresponding proxy server by user terminals.
- 12. The method of claim 8, wherein the step of notifying all of the proxy servers is performed using a DWS INV message.
- 13. The method of claim 8, wherein the step of notifying further comprises the step of sending the updated content file to each of the proxy servers via an HTTP PUT request with a DWS SUB header.
- 14. The method of claim 8, wherein the step of notifying all of the proxy servers is performed when the content file is updated in the content server within a predetermined time interval.
  - 15. The method of claim 8, wherein the step of maintaining a

subscription list is performed by a subscription manager in the content server and the notification step is performed by a consistency manager in the content server.

#### ABSTRACT

A data access network system is described that includes a content server coupled to a plurality of proxy servers via an interconnect network. The content server store at least one content file. The data access network system also includes a system of maintaining content consistency between the content server and the proxy servers. The system includes a subscription manager in the content server that specifies all of the proxy servers that are subscribed to the content file. The system also includes a consistency manager that notifies all of the subscribed proxy servers that cache the content file to discard the cached content file from those proxy servers when the content file is updated in the content server. A method of maintaining content consistency between the content server and the proxy servers is also described.

FIGURE 2

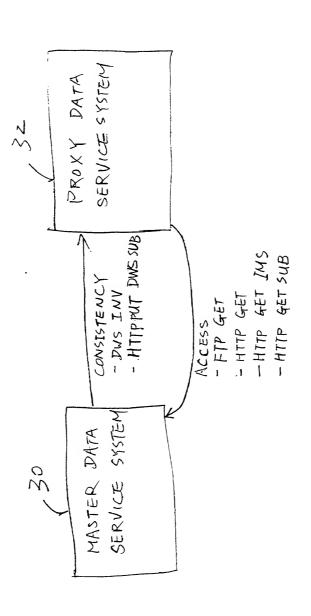


FIGURE 3

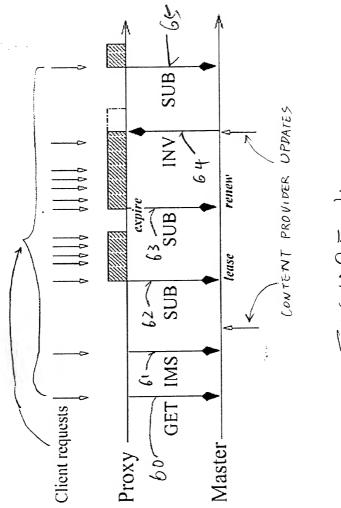
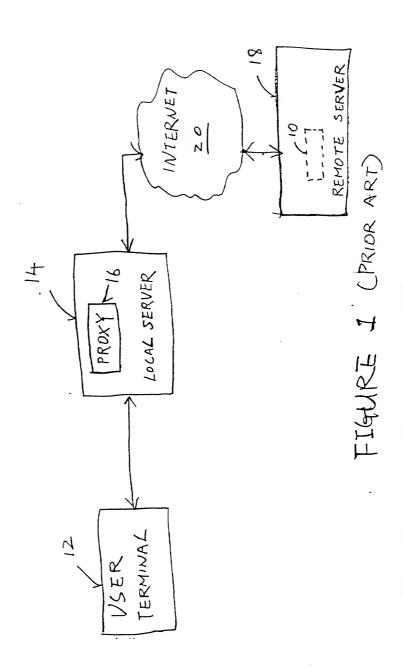


FIGURE 4



#### **DECLARATION AND POWER OF ATTORNEY** FOR PATENT APPLICATION

### ATTORNEY DOCKET NO. 10981718-1

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I haliava I am the original first and sole inventor (if only one name is listed halow) a nd

Improving Content C		<del></del>				
the specification of v	vhich is a	ttached hereto unless		_		
( ) was filed on as US Application Serial No. or PCT International Application Number and was amended on (if applicable).						
including the claims, disclose all information Foreign Application(s) and I hereby claim foreign pri- inventor(s) certificate liste	as amen on which for Claim of ority benefit d below and	viewed and understooded by any amendmis material to patental if Foreign Priority is under Title 35, United S d have also identified below too no which priority is cl	ent(s) refer pility as defi tates Code Se v any foreign	red to abo ined in 37 ection 119 of	ve. I acknowle CFR 1.56.	edge the duty
COUNTRY		APPLICATION NUMBER	T DA	TE FILEO	PRIORITY CLAIMED	UNDER 35 U.S.C. 119
			+		YES:	NO:
<del></del>			+		YES:	NO:
Provisional Application			<del></del>			140;
	under Title	35, United States Code S	ection 119(e)	of any Unite	d States provisional	application(s) lis
	AP	PLICATION SERIAL NUMBER	FIL	ING DATE		
		<del></del>				
U. S. Priority Claim	L					
I hereby claim the benefit insofar as the subject mat manner provided by the fi information as defined in 1	ter of each irst paragrap litle 37, Cod	35, United States Code, of the claims of this applia on of Title 35, United State de of Federal Regulations,	cation is not one castion is Code Section 1.56(a	lisclosed in th on 112, I ack	ne prior United State (nowledge the duty	es application in to disclose mate
I hereby claim the benefit insofar as the subject mat manner provided by the fi information as defined in 1	ter of each irst paragrap litle 37, Coo al or PCT int	of the claims of this appli on of Title 35, United State	cation is not one castion is Code Section 1.56(a	lisclosed in the condition 112, I ack ) which occu	ne prior United State knowledge the duty rred between the fil	es application in to disclose matering date of the pr
I hereby claim the benefit insofar as the subject mat manner provided by the fi information as defined in T application and the nationa	ter of each irst paragrap litle 37, Coo al or PCT int	of the claims of this applion of Title 35, United State de of Federal Regulations, sernational filing date of this	cation is not one castion is Code Section 1.56(a	lisclosed in the condition 112, I ack ) which occu	ne prior United State (nowledge the duty	es application in to disclose matering date of the pr
I hereby claim the benefit insofar as the subject mat manner provided by the fi information as defined in T application and the nationa	ter of each irst paragrap litle 37, Coo al or PCT int	of the claims of this applion of Title 35, United State de of Federal Regulations, sernational filing date of this	cation is not one castion is Code Section 1.56(a	lisclosed in the condition 112, I ack ) which occu	ne prior United State knowledge the duty rred between the fil	es application in t to disclose mater ing date of the pr
I hereby claim the benefit insofar as the subject mat manner provided by the fi information as defined in T application and the nationa	ter of each irst paragrap litle 37, Coo al or PCT int	of the claims of this applion of Title 35, United State de of Federal Regulations, sernational filing date of this	cation is not one castion is Code Section 1.56(a	lisclosed in the condition 112, I ack ) which occu	ne prior United State knowledge the duty rred between the fil	es application in t to disclose mater ing date of the pr
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the nations  APPLICATION SERIAL NU  POWER OF ATTORNEY: As a named inventor, I h	ter of each rist paragrag ritle 37, Coc al or PCT int	of the claims of this applion of Title 35, United State de of Federal Regulations, sernational filing date of this	ation is not case Code Section 1.56(a application:	lisclosed in the control of the cont	ne prior United State knowledge the duty rred between the fil (patented/pending/abandon	es application in to disclose matering date of the protection
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the nations  APPLICATION SERIAL NU  POWER OF ATTORNEY: As a named inventor, I h	ter of each record for the second for the second for PCT int MBER ereby appoint and Patent and	of the claims of this appli on of Title 35, United Stat de of Federal Regulations, i ernational filing date of this FILING DATE	ation is not case Code Section 1.56(a application:	lisclosed in the on 112, I ack ) which occu  STATUS:	ne prior United State knowledge the duty rred between the fil (patented/pending/abandon	es application in to disclose matering date of the property of
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the nations  APPLICATION SERIAL NU  POWER OF ATTORNEY: As a named inventor, I heransact all business in the	ter of each irst paragrap irst	of the claims of this appli on of Title 35, United State de of Federal Regulations, sernational filing date of this FILING DATE	ation is not case Code Section 1.56(a application:	lisclosed in the control of the cont	ne prior United Status inowledge the duty rred between the fil  (patented/pending/abandon  ellow to prosecute	es application in to disclose matering date of the property of
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the nations  APPLICATION SERIAL NU  POWER OF ATTORNEY: As a named inventor, I heransact all business in the Thomas X. Li  Reg. No. 37,079  Send Correspondence	ter of each rist paragrap rist	of the claims of this appli on of Title 35, United State de of Federal Regulations, sernational filing date of this FILING DATE	astion is not of section 1.56(a application:  a application:  and/or age of therewith.  Timothy R. (  Reg. No. 3	lisclosed in the control of the cont	ne prior United Status inowledge the duty rred between the fil (patented/pending/abandon elow to prosecute  Marc P. Sch Reg. No. 3	es application in to disclose mate ing date of the product of the
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the national APPLICATION SERIAL NU.  POWER OF ATTORNEY: As a named inventor, I have a named inventor in the named in the name in the named in	ter of each rest paragraphic ritle 37, Coo al or PCT int IMBER  ereby appoi Patent and Edwi Reg. to:	of the claims of this appli on of Title 35, United State de of Federal Regulations, sernational filing date of this FILING DATE	astion is not cases Code Sective Section 1.56(a application:  and/or age de therewith.  Timothy R. (  Reg. No. 30	lisclosed in the control of the cont	ne prior United Status inowledge the duty rred between the fil (patented/pending/abandon elow to prosecute  Marc P. Sch Reg. No. 3	es application in to disclose mate ing date of the product of the
I hereby claim the benefit important as the subject material manner provided by the finformation as defined in Tapplication and the national APPLICATION SERIAL NUMBER OF ATTORNEY: As a named inventor, I have transact all business in the Thomas X. Li Reg. No. 37,079  Send Correspondence IP Administration	ter of each rest paragraphic ritle 37, Coo al or PCT int IMBER  ereby appoi Patent and Edwi Reg. to:	of the claims of this appli on of Title 35, United State de of Federal Regulations, sernational filing date of this FILING DATE	ation is not cases Code Sective Section 1.56(a application:  and/or age de therewith.  Timothy R. 4.  Reg. No. 30	isclosed in the control of the contr	ne prior United State (nowledge the duty (red between the fil (patented/pending/abandor  patented/pending/abandor  patented/pending/abandor  Marc P. Sch  Reg. No. 3  ne Calls To:	es application in to disclose matering date of the property of the property of the property of this application are supplication and the property of the prope
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the national APPLICATION SERIAL NU.  APPLICATION SERIAL NU.  POWER OF ATTORNEY: As a named inventor, I have a named inventor in the named in	ter of each rest paragraphic and per or per interest paragraphic and per or per interest paragraphic and per or pe	of the claims of this appli on of Title 35, United State de of Federal Regulations, sernational filing date of this FILING DATE	astion is not of section 1.56(a application:  s) and/or age of therewith.  Timothy R. ( Reg. No. 3)	isclosed in the control of the contr	ne prior United State incovledge the duty rred between the fil (patented/pending/abandon ellow to prosecute  Marc P. Sch Reg. No. 3 ne Calls To:	es application in to disclose mate inig date of the product of the
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the national APPLICATION SERIAL NUMBER OF ATTORNEY: As a named inventor, I have a named inventor in the I have a named inventor in the I have a named in the I have	ter of each rist paragraphic and per	of the claims of this appli on of Title 35, United State de of Federal Regulations, sernational filing date of this FILING DATE	s) and/or age of the rewith.  Timothy R. 6  Reg. No. 30  The fire and and the all 8 of the	status ( sta	elow to prosecute  Marc P. Sch Reg. No. 3  ne Calls To:  are true and that these statements code and the statements are punish.	es application in to disclose matering date of the protection of t
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the national APPLICATION SERIAL NUMBER OF ATTORNEY: As a named inventor, I have a named inventor in the I have a named inventor in the I have a named in the I have	ter of each rest paragraph and the state of	of the claims of this application of Title 35, United Status of Federal Regulations, sernational filing date of this FILING DATE  int the following attorneys Trademark Office connected of the State of	my own k true; and the	status ( sta	elow to prosecute  Marc P. Sch Reg. No. 3  The Calls To:  are true and that at these statems and are punish ates Code and that issued thereo	es application in to disclose matering date of the project of the
I hereby claim the benefit insofar as the subject mat manner provided by the finformation as defined in Tapplication and the national APPLICATION SERIAL NU.  APPLICATION SERIAL NU.  POWER OF ATTORNEY: As a named inventor, I have a named in have	ereby appoi Patent and Edw. Reg. NOMPANY 303-0890 all stater and bel that wil h, under jeopardiz artin F. A	of the claims of this application of Title 35, United Status of Federal Regulations, sernational filing date of this FILING DATE  int the following attorneys Trademark Office connected of the State of	s) and/or age of the rewith.  Timothy R. (65)  my own k true; and and the polication of the state of the	status ( sta	elow to prosecute  Marc P. Sch Reg. No. 3  The Calls To:  are true and that at these statems and are punish ates Code and that issued thereo	es application in to disclose mate ing date of the proceed)  this application a suyler 5,675  at all statement and the by fine able by fine will be a state will be a state with the state were manable by fine able by fine that supplies the state will be a state will be a state will be a state with will be a state with will be a state with the state will be a state with will be a state with the state w

10 THE STATE OF San Paris 1

Car Cast of a Cast Cast Cast

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (continued)

### ATTORNEY DOCKET NO. 10981718-1

	Full Name of # 2 joint inventor:	John A. Dilley		Citizenship: US		
Residence: 44 Marvin Avenue, Los Altos CA 94022						
Post Office Address: Same As Residence						
						Inventor's Signature
	Full Name of # 3 joint inventor:					
	Residence:	5622 Snowdon Place, San Jose	CA 9513	38		
	Post Office Address: Same as residence					
	Inventor's Signature		Date			
	-		Date			
255	Full Name of # 4 joint inventor:	Tai Y. Jin		Citizenship: US		
*	Residence:	1900 Parkwood Drive, San Mate	eo CA 94	<del></del>		
Ų M	Post Office Address:	Same as residence				
	rost office Address.					
1	Inventor's Signature		Date			
J. C.						
	Full Name of # 5 joint inventor:	Stephane J. Perret		Citizenship: FR		
100	Residence:	44bis rue Loen Jouhaux, F-3810	00 Grenobl	e, France		
de de	Post Office Address:	Same As Residence				
0	Inventor's Signature					
	mventor a digitature		Date			
	Full Name of # 6 joint inventor:			Citizenship:		
	Residence:					
	Post Office Address:					
	Inventor's Signature		Date			
	Full Name of # 7 joint inventor:	:		Citizenship:		
	Residence:			-		
	Post Office Address:					
	Inventor's Signature		Date			
	Full Name of # 8 joint inventor:	·		Citizenship:		
	Residence:					
	Post Office Address:					
	Inventor's Signature		5-4-			
	-		Date			